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PATENT

Docket No. 229752001300

CERTIFICATE OF HAND DELIVERY

I hereby certify that this correspondence is being hand filed with the United States Patent and Trademark Office in Washington, D.C. on April

11, 2002.

Lourdes Reveron

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APR 2 4 2002

In the application of:

Jose Ramon BOTELLA MESA et al.

Serial No.:

09/763,957

Filing Date:

February 28, 2001

For:

A NOVEL PLANT PROMOTER AND

USES THEREFOR

Examiner: To be assigned TECH CENTER 1600/2900

Group Art Unit: To be assigned

## INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

Commissioner for Patents Washington, D.C. 20231

Sir:

Pursuant to 37 CFR 1.97 and 1.98, Applicants submit for consideration in the above-identified application the documents listed on the attached Form PTO-1449. Copies of the documents are also submitted herewith. The Examiner is requested to make these documents of record.

The documents listed on the attached Form PTO-1449 were cited in a Search Report (copy attached) directed to a counterpart international or foreign application.

This Information Disclosure Statement is submitted before mailing of a first Office Action on the merits; accordingly, no fee or separate requirements are required.

Applicants would appreciate the Examiner initialing and returning the Form PTO-1449, indicating that the information has been considered and made of record herein.

The information contained in this Information Disclosure Statement under 37 CFR 1.97 is not to be construed as a representation that: (i) a complete search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the above information constitutes prior art to the subject invention.

In the event that the transmittal letter is separated from this document and the Patent and Trademark Office determines that an extension and/or other relief is required, applicants petitions for any required relief including extensions of time and authorizes the Commissioner to charge the cost of such petitions and/or other fees due in connection with the filing of this document to **Deposit Account No. 03-1952** referencing <u>229752001300</u>. However, the Commissioner is not authorized to charge the cost of the issue fee to the Deposit Account.

Dated: April 11, 2002

Respectfully submitted,

Barry E. Bretschneider Registration No. 28,055

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Form PTO-1449 Docket Number 229752001300 Application Number 09/763,957 Applicant INFORMATION DISCLOSURE CITATION Jose Ramon BOTELLA MESA et al. IN AN APPLICATION (Use several sheets if necessary) Filing Date February 28, 2001 Mailing Date April 11, 2002 APR 1 1 2002 U.S. PATENT DOCUMENTS Examiner Ref. Date ocument No. Filing Date If Name Class Subclass Appropriate Initials No. 1. 6/4/1996 5,523,221 Weiner 6/16/1993 2. Wickens et al. 5/12/1998 5,750,,667 11/12/1996 3. Wu et al. 5/26/1998 5,756,343 1/7/1994 4. 6/16/1998 5,767,376 Stiles et al. 6/7/1995 5. Klee et al. 12/30/1997 5,702,933 11/6/1995 6. 3/3/1998 5,723,766 Theologis et al. 6/7/1995 FOREIGN PATENT DOCUMENTS Examiner Ref. Date Document No. Country Class Subclass Translation Initials No. YES NO X 7. 2/19/1998 WO 98/06852 **PCT** 8. X 4/9/1998 WO 98/14465 PCT 9. 10/15/1998 WO 98/45445 **PCT** X 10. 3/27/1997 WO 97/11166 **PCT** X 11. 11/14/1996 WO 96/35792 PCT X X 12. 7/31/1997 WO 97/27308 **PCT** OTHER DOCUMENTS (including author, title, Date, Pertinent Pages, Etc.) Examiner Ref. Title **Initials** No. Botella et al., Plant Molecular Biology, Vol. 18, pp 793-797, 1992 13. , 14. Botella et al., Plant Molecular Biology, Vol. 20, pp 425-436, 1992 15. Botella et al., Proc. Natl. Acad. Sci. USA, Vol. 92, pp 1595-1598, 1995 16. Yoon et al., Plant Cell Physiol, Vol. 40(4), pp 431-438, 1999 Liu et al., EMBL Accession No. X67100 17. .-18. Peck et al., Plant Journal, Vol. 14(5), pp 573-581, 1998 19. ( Peck et al., Plant Molecular Biology, Vol. 28(2), pp 293-301, 1995 **EXAMINER:** DATE CONSIDERED: EXAMINER: Initial if citation considered, whether or not the citation conforms with MPEP 609. Draw a line through the citation if not in conformance and not considered. Include a copy of this form with next communication to applicant.